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974 #4

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

www.uspto.gov
ATTORNEY DOCKET NUMBER

09/759,684

01/10/2001

Larry L. Hood

155694-0067

**CONFIRMATION NO. 3084** 

FORMALITIES LETTER

\*OC0000000006135833\*

Ben J. Yorks Irell & Manella, LLP Suite 400 840 Newport Center Drive Newport Beach, CA 92660

Date Mailed: 06/01/2001

### NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

#### Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on to the Notice mailed and it has been entered into the nonprovisional application. The reply, however, doesnot include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Papers contain improper margins. Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")

A copy of this notice MUST be returned with the reply.

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PART 2 - COPY TO BE RETURNED WITH RESPONSE



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PTO/SB/21 (08-00)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 40

Application Number	09/759,684
Filing Date	January 10, 2001
First Named Inventor	Larry L. Hood
Group Art Unit	3739
Examiner Name	Unknown
Attorney Docket Number	155694-0067

	ENCLOSURES (check	all that apply)				
Fee Transmittal Form  X Fee Attached  Amendment / Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53	Assignment Papers (for an Application)  Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application  Power of Attorney, Revocation Change of Correspondence Address  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)  Remarks	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): Copy of Notice of Incomplete Reply; and Substitute Specification				
SIGNATI	IRE OF APPLICANT, ATTORNEY, OR	AGENT				
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mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: August 10, 2001 Wendy Jones Typed or printed name August 10, 2001 Signature Date

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PTO/SB/17 (11-00)

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

Name (Print/Type)

(\$)	695.0	00

Complete if Known					
Application Number	09/759,684				
Filing Date	January 10, 2001				
First Named Inventor	Larry L. Hood				
Examiner Name	Unknown				
Group Art Unit	3739				
Attornèy Docket No.	155694-0067				

METHOD OF PAYMENT			FEE CALCULATION (continued)					
The Commissioner is hereby authorized to charge		3. Al	DDIT	ON	\L FE	ES		
ind Deposit	icated fees and credit any overpayments to:			Large		Sma		
Account	09-0946		Fee	Entit Fee	•	Entit Fee	·	Fee Paid
Number Deposit			Code		Code		Fee Description	ree raid
Account	Irell & Manella LLP		105	130	205	65	Surcharge - late filing fee or oath	
Name Char	ge Any Additional Fee Required		127	50	227	25	Surcharge - late provisional filing fee or	
	r 37 CFR 1.16 and 1.17						cover sheet	
	cant claims small entity status.		139	130	139	130	Non-English specification	
See	37 CFR 1 27	$\neg$	147	2,520	147	2,520	For filing a request for ex parte reexamination	
1 = -	/ment Enclosed:		112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
Che	ck Credit card Order Oth	er	113	1,840*	113	1,840*	Requesting publication of SIR after	
	FEE CALCULATION		4		<b>0.1</b> -		Examiner action	
1. BASIC	FILING FEE		115	110	215	55	Extension for reply within first month	
	ity Small Entity e Fee Fee Fee Description		116	390	216		Extension for reply within second month	
Fee Fe Code (\$)		id	117	890	217		Extension for reply within third month	695.00
101 710		¬.I		1,390			Extension for reply within fourth month	
106 320	206 160 Design filing fee	71	128	1,890			Extension for reply within fifth month	
107 490	207 245 Plant filing fee		119	310	219		Notice of Appeal	
108 710	208 355 Reissue filing fee	_	120	310	220	155	Filing a brief in support of an appeal	
114 - 150	214 75 Provisional filing fee	<b>IJ</b>	121	270	221		Request for oral hearing	
	SUBTOTAL (1) (\$)			1,510			Petition to institute a public use proceeding	
			140	110	240	55	Petition to revive - unavoidable	
2. EXIRA	CLAIM FEES Fee from			1,240			Petition to revive - unintentional	
	Extra Claims below Fee	aid		1,240			Utility issue fee (or reissue)	
Total Claims Independent	-20** = X = X	╡	143	440	243		Design issue fee Plant issue fee	
Claims Multiple Depe		=	144	600		300		
Multiple Depe	ident	—	122	130	122		Petitions to the Commissioner	
Large Entit	y Small Entity		123	50	123	50	Processing fee under 37 CFR 1.17(q)	
Fee Fee	Fee Fee Fee Description		126	180	126		Submission of Information Disclosure Stmt	
Code (\$) 103 18	Code (\$) 203 9 Claims in excess of 20		581	40	581	40	Recording each patent assignment per property (times number of properties)	
102 80	202 40 Independent claims in excess of 3		146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
104 270	204 135 Multiple dependent claim, if not pa	d	149	710	249	355	For each additional invention to be	
109 80	209 40 ** Reissue independent claims over original patent						examined (37 CFR § 1.129(b))	
110 18	210 9 ** Reissue claims in excess of 20		179	710	279	355	Request for Continued Examination (RCE)	
1	and over original patent		169	900	169	900	Request for expedited examination of a design application	
	SUBTOTAL (2) (\$)		Other	fee (sį	ecify	)		
**or number previously paid, if greater; For Reissues, see above *Reduced by Basic Filing Fee Paid *SUBTOTAL (3) (\$) 695.00								
SUBMITTED BY Complete (if applicable)								

Jonathan Lindsay (949) 760-0991 (Attorney/Agent) August 10, 2001 Date Signature WARNING: Information on this form may become public. Credit card information should not

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Telephone

45,810